Diagnosis and Treatment of Adults with Community-acquired Pneumonia
An Official Clinical Practice Guideline of the American Thoracic Society and Infectious Diseases Society of America


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Background: This document provides evidence-based clinical practice guidelines on the management of adult patients with community-acquired pneumonia.

Methods: A multidisciplinary panel conducted pragmatic systematic reviews of the relevant research and applied Grading of Recommendations, Assessment, Development, and Evaluation methodology for clinical recommendations.

Results: The panel addressed 16 specific areas for recommendations spanning questions of diagnostic testing, determination of site of care, selection of initial empiric antibiotic therapy, and subsequent management decisions. Although some recommendations remain unchanged from the 2007 guideline, the availability of results from new therapeutic trials and epidemiological investigations led to revised recommendations for empiric treatment strategies and additional management decisions.

Conclusions: The panel formulated and provided the rationale for recommendations on selected diagnostic and treatment strategies for adult patients with community-acquired pneumonia.

Keywords: community-acquired pneumonia; pneumonia; patient management

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Overview

In the more than 10 years since the last American Thoracic Society (ATS)/Infectious Diseases Society of America (IDSA) community-acquired pneumonia (CAP) guideline (1), there have been changes in the process for guideline development, as well as generation of new clinical data. ATS and IDSA agreed on moving from the narrative style of previous documents to the Grading of Recommendations Assessment, Development, and Evaluation (GRADE) format. We thus developed this updated CAP guideline as a series of questions answered from available evidence in an “is option A better than option B” format using the Patient or Population, Intervention, Comparison, Outcome (PICO) framework (2).

Given the expansion in information related to the diagnostic, therapeutic, and management decisions for the care of patients with CAP, we have purposely narrowed the scope of this guideline to address decisions from the time of clinical diagnosis of pneumonia (i.e., signs and symptoms of pneumonia with radiographic confirmation) to completion of antimicrobial therapy and follow-up chest imaging. The document does not address either the initial clinical diagnostic criteria or prevention of pneumonia.

CAP is an extraordinarily heterogeneous illness, both in the range of responsible pathogens and the host response. Thus, the PICO questions we identified for this guideline do not represent the full range of relevant questions about the management of CAP but encompass a set of core questions identified as high priority by the panel. In addition, although each question was addressed using systematic reviews of available high-quality studies, the evidence base was often insufficient, emphasizing the continued importance of clinical judgment and experience in treating patients with this illness and the need for continued research.

Introduction

This guideline addresses the clinical entity of pneumonia that is acquired outside of the hospital setting. Although we recognize that CAP is frequently diagnosed without the use of a chest radiograph, especially in the ambulatory setting, we have focused on studies that used radiographic criteria for defining CAP, given the known inaccuracy of clinical signs and symptoms alone for CAP diagnosis (3). This guideline focuses on patients in the United States who have not recently completed foreign travel, especially to regions with emerging respiratory pathogens. This guideline also focuses on adults who do not have an immunocompromising condition, such as inherited or acquired immune deficiency or drug-induced neutropenia, including patients actively receiving cancer chemotherapy, patients infected with HIV with suppressed CD4 counts, and solid organ or bone marrow transplant recipients.

Antibiotic recommendations for the empiric treatment of CAP are based on selecting agents effective against the major treatable bacterial causes of CAP. Traditionally, these bacterial pathogens include Streptococcus pneumoniae, Haemophilus influenzae, Mycoplasma pneumoniae, Staphylococcus aureus, Legionella species, Chlamydia pneumoniae, and Moraxella catarrhalis. The microbial etiology of CAP is changing, particularly with the widespread introduction of the pneumococcal conjugate vaccine, and there is increased recognition of the role of viral
Recommendations

**Question 1: In Adults with CAP, Should Gram Stain and Culture of Lower Respiratory Secretions Be Obtained at the Time of Diagnosis?**

**Recommendation.** We recommend not obtaining sputum Gram stain and culture routinely in adults with CAP managed in the outpatient setting (strong recommendation, very low quality of evidence).

We recommend obtaining pretreatment Gram stain and culture of respiratory secretions in adults with CAP managed in the hospital setting who:

1. are classified as severe CAP (see Table 1), especially if they are intubated (strong recommendation, very low quality of evidence); or
2. a. are being empirically treated for MRSA or *P. aeruginosa* (strong recommendation, very low quality of evidence); or

b. were previously infected with MRSA or *P. aeruginosa*, especially those with prior respiratory tract infection (conditional recommendation, very low quality of evidence); or
c. were hospitalized and received parenteral antibiotics, whether during the hospitalization event or not, in the last 90 days (conditional recommendation, very low quality of evidence).

**Summary of the evidence.** Arguments for trying to determine the etiology of CAP are that 1) a resistant pathogen may be identified; 2) therapy may be narrowed; 3) some pathogens, such as *Legionella*, have public health implications; 4) therapy may be adjusted when patients fail initial therapy; and 5) the constantly changing epidemiology of CAP requires ongoing evaluation.

These arguments stand in contrast to the lack of high-quality evidence demonstrating that routine diagnostic testing improves individual patient outcomes. Studies that specifically evaluated the use of sputum Gram stain and culture alone (4–7), or in combination with other microbiological testing (8–11), also did not demonstrate better patient outcomes.

The overall poor yield of sputum evaluation for detecting organisms causing CAP limits its impact on management and patient outcomes. Obtaining a valid sputum specimen can be challenging because of patient-related characteristics (12–17). Performance characteristics of testing also vary by organism, receipt of prior antibiotics, and setting. For example, in patients with bacteremic pneumococcal pneumonia who have not received antibiotics, microscopic examination and culture of a good-quality sputum sample detects pneumococci in 86% of cases (18).

**Rationale for the recommendation.** In balancing the lack of evidence supporting routine sputum culture with the desire for improved antimicrobial stewardship, the committee voted to continue the stance of previous guidelines in recommending neither for nor against routinely obtaining sputum Gram stain and culture in all adults with CAP managed in the hospital setting. Whether to culture patients or not should be determined by individual clinicians on the basis of clinical presentation, local etiological considerations, and local antimicrobial stewardship processes.

The committee identified two situations in which we recommend sputum
Table 1. 2007 Infectious Diseases Society of America/American Thoracic Society Criteria for Defining Severe Community-acquired Pneumonia

Validated definition includes either one major criterion or three or more minor criteria

<table>
<thead>
<tr>
<th>Minor criteria</th>
<th>Recommendation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Respiratory rate ( \geq 30 \text{ breaths/min} )</td>
<td></td>
</tr>
<tr>
<td>PaO2/FiO2 ratio ( \geq 250 )</td>
<td></td>
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<tr>
<td>Multilobar infiltrates</td>
<td></td>
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<tr>
<td>Confusion/disorientation</td>
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<tr>
<td>Uremia (blood urea nitrogen level ( \geq 20 \text{ mg/dl} ))</td>
<td></td>
</tr>
<tr>
<td>Leukopenia* (white blood cell count ( &lt; 4,000 \text{ cells/\mu l} ))</td>
<td></td>
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<tr>
<td>Thrombocytopenia (platelet count ( &lt; 100,000/\mu l ))</td>
<td></td>
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<tr>
<td>Hypothermia (core temperature ( \leq 36^\circ \text{C} ))</td>
<td></td>
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<tr>
<td>Hypotension requiring aggressive fluid resuscitation</td>
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</table>

<table>
<thead>
<tr>
<th>Major criteria</th>
<th>Recommendation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Septic shock with need for vaspressors</td>
<td></td>
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<tr>
<td>Respiratory failure requiring mechanical ventilation</td>
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</table>

*Due to infection alone (i.e., not chemotherapy induced).

The most consistently strong risk factor to consider is prior infection with either MRSA or *P. aeruginosa*. In addition, hospitalization and treatment with parenteral antibiotics in the last 90 days is associated with an increased risk of these pathogens, and so we recommend sputum culture in this situation. These recommendations are not based on high-grade evidence but reflect the committee’s desire to improve antibiotic use as well as improve clinicians’ understanding of their local pathogen prevalences and resistance patterns, which we believe are key to selecting appropriate empiric antibiotic therapy.

Research needed in this area. Rapid, cost-effective, sensitive, and specific diagnostic tests to identify organisms causing CAP have potential to improve routine care by supporting the use of targeted therapy, especially when there are risk factors for antibiotic-resistant pathogens. All new diagnostic tests should be assessed in high-quality research studies that address the impact of testing strategies on treatment decisions and patient outcomes.

Question 2: In Adults with CAP, Should Blood Cultures Be Obtained at the Time of Diagnosis?

**Recommendation.** We recommend not obtaining blood cultures in adults with CAP managed in the outpatient setting (strong recommendation, very low quality of evidence).

We suggest not routinely obtaining blood cultures in adults with CAP managed in the hospital setting (conditional recommendation, very low quality of evidence).

We recommend obtaining pretreatment blood cultures in adults with CAP managed in the hospital setting who:

1. are classified as severe CAP (see Table 1) (strong recommendation, very low quality of evidence); or
2. a. are being empirically treated for MRSA or *P. aeruginosa* (strong recommendation, very low quality of evidence); or
   b. were previously infected with MRSA or *P. aeruginosa*, especially those with prior respiratory tract infection (conditional recommendation, very low quality of evidence); or
   c. were hospitalized and received parenteral antibiotics, whether during the hospitalization event or not, in the last 90 days (conditional recommendation, very low quality of evidence).

Summary of the evidence. There are no high-quality studies that specifically compared patient outcomes with and without blood culture testing. One large observational study found lower mortality for hospitalized patients associated with obtaining blood cultures at the time of admission (20). Three subsequent (smaller) observational studies found similar associations between in-hospital mortality and having blood cultures within 24 hours of admission, but the results were not statistically significant (8, 21, 22).

The yield of blood cultures in most series of adults with nonsevere CAP is low, ranging from 2% (outpatients) to 9% (inpatients) (14, 21, 23, 24); furthermore, blood cultures rarely result in an appropriate change in empiric therapy (25), and blood specimens that include skin contaminants can generate false-positive test results. Growth of organisms such as coagulase-negative staphylococci, which are not recognized as CAP pathogens (26), may lead to inappropriate antimicrobial use that increases the risk for adverse drug effects. A study of adults hospitalized with CAP found blood cultures were associated with a significant increase in length of stay and duration of antibiotic therapy (27). Given the observational nature of these studies, it is unknown whether the associations found with blood cultures and patient outcomes were causal or due to unmeasured confounding factors, including severity of illness.

Rationale for the recommendation. Although additional diagnostic information could improve the quality of treatment decisions, support for routine collection of blood cultures is reduced by the low quality of studies demonstrating clinical benefit. Routinely obtaining blood cultures may generate false-positive results that lead to unnecessary antibiotic use and increased length of stay.

In severe CAP, delay in covering less-common pathogens can have serious consequences. Therefore, the potential benefit of blood cultures is much larger when results can be returned within 24 to 48 hours.

The rationale for the recommendation for blood cultures in the setting of risk factors for MRSA and *P. aeruginosa* is the same as for sputum culture.
Question 3: In Adults with CAP, Should Legionella and Pneumococcal Urinary Antigen Testing Be Performed at the Time of Diagnosis?

Recommendation. We suggest not routinely testing urine for pneumococcal antigen in adults with CAP (conditional recommendation, low quality of evidence), except in adults with severe CAP (conditional recommendation, low quality of evidence).

We suggest not routinely testing urine for Legionella antigen in adults with CAP (conditional recommendation, low quality of evidence), except

1. in cases where indicated by epidemiological factors, such as association with a Legionella outbreak or recent travel (conditional recommendation, low quality of evidence); or

2. in adults with severe CAP (see Table 1) (conditional recommendation, low quality of evidence).

We suggest testing for Legionella urinary antigen and collecting lower respiratory tract secretions for Legionella culture on selective media or Legionella nucleic acid amplification testing in adults with severe CAP (conditional recommendation, low quality of evidence).

Summary of the evidence. Falguera and colleagues (28) randomized 177 patients to pathogen-directed treatment (targeted treatment) on the basis of results of urinary antigen testing for S. pneumoniae and Legionella versus empirical guideline-directed treatment. Of the 88 patients in the targeted treatment arm, 25% had a positive urinary antigen test and received pathogen-directed therapy. There were no statistical differences in death, clinical relapse, ICU admission, length of hospitalization, or length of antibiotic treatment (28). A second trial of 262 patients included a broader range of microbiological testing (sputum and blood cultures) and only Legionella urinary antigen testing, but patients receiving pathogen-directed therapy had similar clinical outcomes to patients receiving empirical, guideline-directed therapy, including mortality, rates of clinical failure, and length of hospitalization (10).

One observational study evaluated cost and antibiotic selection in patients during two time periods, with and without pneumococcal urinary antigen testing, but found no differences during the two time periods (29). In contrast, other observational studies that have evaluated the impact of prior CAP guideline concordance (including initial diagnostic testing with urinary antigen tests and blood cultures, along with site of care

<table>
<thead>
<tr>
<th>Recommendation</th>
<th>2007 ATS/IDSA Guideline</th>
<th>2019 ATS/IDSA Guideline</th>
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<tbody>
<tr>
<td>Sputum culture</td>
<td>Primarily recommended in patients with severe disease</td>
<td>Now recommended in patients with severe disease as well as in all inpatients empirically treated for MRSA or P. aeruginosa</td>
</tr>
<tr>
<td>Blood culture</td>
<td>Primarily recommended in patients with severe disease</td>
<td>Now recommended in patients with severe disease as well as in all inpatients empirically treated for MRSA or P. aeruginosa</td>
</tr>
<tr>
<td>Macrolide monotherapy</td>
<td>Strong recommendation for outpatients</td>
<td>Conditional recommendation for outpatients based on resistance levels</td>
</tr>
<tr>
<td>Use of procalcitonin</td>
<td>Not covered</td>
<td>Not recommended to determine need for initial antibacterial therapy</td>
</tr>
<tr>
<td>Use of corticosteroids</td>
<td>Not covered</td>
<td>Recommended not to use. May be considered in patients with refractory septic shock</td>
</tr>
<tr>
<td>Use of healthcare-associated pneumonia category</td>
<td>Accepted as introduced in the 2005 ATS/IDSA hospital-acquired and ventilator-associated pneumonia guidelines</td>
<td>Recommend abandoning this categorization. Emphasis on local epidemiology and validated risk factors to determine need for MRSA or P. aeruginosa coverage. Increased emphasis on deescalation of treatment if cultures are negative</td>
</tr>
<tr>
<td>Standard empiric therapy for severe CAP</td>
<td>β-Lactam/macrolide and β-lactam/fluoroquinolone combinations given equal weighting</td>
<td>Both accepted but stronger evidence in favor of β-lactam/macrolide combination</td>
</tr>
<tr>
<td>Routine use of follow-up chest imaging</td>
<td>Not addressed</td>
<td>Recommended not to obtain. Patients may be eligible for lung cancer screening, which should be performed as clinically indicated</td>
</tr>
</tbody>
</table>

**Table 2. Differences between the 2019 and 2007 American Thoracic Society/Infectious Diseases Society of America Community-acquired Pneumonia Guidelines**

**Definition of abbreviations:** ATS = American Thoracic Society; CAP = community-acquired pneumonia; IDSA = Infectious Diseases Society of America; MRSA = methicillin-resistant Staphylococcus aureus.
Table 3. Initial Treatment Strategies for Outpatients with Community-acquired Pneumonia

<table>
<thead>
<tr>
<th>Standard Regimen</th>
<th>No comorbidities or risk factors for MRSA or <em>Pseudomonas aeruginosa</em></th>
<th>With comorbidities‡</th>
</tr>
</thead>
<tbody>
<tr>
<td>Amoxicillin or doxycycline or macrolide (if local pneumococcal resistance is &lt;25%)§</td>
<td>Combination therapy with amoxicillin/clavulanate or cephalosporin AND macrolide or doxycycline§</td>
<td>monotherapy with respiratory fluoroquinolone§</td>
</tr>
</tbody>
</table>

Definition of abbreviations: ER = extended release; MRSA = methicillin-resistant *Staphylococcus aureus*.

*Risk factors include prior respiratory isolation of MRSA or *P. aeruginosa* or recent hospitalization AND receipt of parenteral antibiotics (in the last 90 d).

§Amoxicillin 1 g three times daily, doxycycline 100 mg twice daily, azithromycin 500 mg on first day then 250 mg daily, clarithromycin 500 mg twice daily, or clarithromycin ER 1,000 mg daily.

†Amoxicillin or doxycycline or macrolide or fluoroquinolone.

‡Comorbidities include chronic heart, lung, liver, or renal disease; diabetes mellitus; alcoholism; malignancy; or asplenia.

§Amoxicillin/clavulanate 500 mg/125 mg three times daily, amoxicillin/clavulanate 875 mg/125 mg twice daily, 2,000 mg/125 mg twice daily, cefpodoxime 200 mg twice daily, or cefuroxime 500 mg twice daily; AND azithromycin 500 mg on first day then 250 mg daily, clarithromycin 500 mg twice daily, clarithromycin ER 1,000 mg daily, or doxycycline 100 mg twice daily.

§Levofoxacin 750 mg daily, moxifloxacin 400 mg daily, or gemifloxacin 320 mg daily.

stratification and guideline-concordant therapy) have reported reduced mortality for patients receiving prior CAP guideline-concordant care, including diagnostic testing. Costantini and colleagues reported a 57% statistically significant reduced odds of in-hospital mortality for patients receiving pneumococcal and *Legionella* urinary antigen testing compared with patients not tested, adjusting for baseline demographic and clinical differences (27). Uematsu and colleagues reported 25% reduced odds of 30-day mortality in patients receiving urinary antigen tests but no impact on length of hospitalizations (7). However, neither study distinguished whether the mortality benefits attributed to testing were a direct consequence of the test results or a marker of other improved processes of care.

**Rationale for the recommendation.** Randomized trials have failed to identify a benefit for urinary antigen testing for *S. pneumoniae* and *Legionella*. Concern has also been raised that narrowing therapy in response to positive urinary antigen tests could lead to increased risk of clinical relapse (28). In large observational studies, these diagnostic tests have been associated with reduction in mortality; therefore, we recommend testing in patients with severe disease. An increase in *Legionella* infections in the United States in the past decade highlights the importance of this diagnosis especially among severely ill patients, particularly in the setting of potential outbreaks due to a common source, although most cases are not associated with a known outbreak and remain sporadic (30, 31).

**Research needed in this area.** Newer nucleic acid amplification systems for sputum, urine, and blood are being developed and require rigorous testing to assess the impact on treatment decisions and clinical outcomes for patients with CAP, as well as the public health benefit in terms of prevention of additional cases and informing primary prevention strategies. In particular, we acknowledge the emergence of rapid, low-cost genomic sequence detection assays that have the potential to greatly improve pathogen-directed therapy and thereby improve antimicrobial stewardship.

**Question 4: In Adults with CAP, Should a Respiratory Sample Be Tested for Influenza Virus at the Time of Diagnosis?**

**Recommendation.** When influenza viruses are circulating in the community, we recommend testing for influenza with a rapid influenza molecular assay (i.e., influenza nucleic acid amplification test), which is preferred over a rapid influenza diagnostic test (i.e., antigen test) (strong recommendation, moderate quality of evidence).

**Summary of the evidence.** Rapid influenza tests have become increasingly available, moving from earlier antigen-based detection tests to nucleic acid amplification tests. We were unable to identify any studies that evaluated the impact of influenza testing on outcomes in adults with CAP. In contrast, a substantial literature has evaluated the importance of influenza testing in the general population, specifically among patients with influenza-like illness (32). Our recommendations for influenza testing in adults with CAP are consistent with testing recommendations for the broader population of adults with suspected influenza, as summarized in the recent IDSA Influenza Clinical Practice Guideline (33).

**Rationale for the recommendation.** The benefits of antiviral therapy support testing of patients during periods of high influenza activity. During periods of low influenza activity, testing can be considered but may not be routinely performed. Of note, this testing recommendation has both therapeutic and infection-control implications in the hospital setting. Updated influenza testing recommendations are also available on the CDC website (https://www.cdc.gov/flu/professionals/diagnosis/index.htm).

**Question 5: In Adults with CAP, Should Serum Procalcitonin plus Clinical Judgment versus Clinical Judgment Alone Be Used to Withhold Initiation of Antibiotic Treatment?**

**Recommendation.** We recommend that empiric antibiotic therapy should be initiated in adults with clinically suspected and radiographically confirmed CAP regardless of initial serum procalcitonin level (strong recommendation, moderate quality of evidence).

**Summary of the evidence.** Several studies have assessed the ability of procalcitonin to distinguish acute respiratory infections due to pneumonia (which are of viral or bacterial etiology) from acute bronchitis or upper respiratory tract infections (which are almost
<table>
<thead>
<tr>
<th></th>
<th>Standard Regimen</th>
<th>Prior Respiratory Isolation of MRSA</th>
<th>Prior Respiratory Isolation of Pseudomonas aeruginosa</th>
<th>Recent Hospitalization and Parenteral Antibiotics and Locally Validated Risk Factors for MRSA</th>
<th>Recent Hospitalization and Parenteral Antibiotics and Locally Validated Risk Factors for P. aeruginosa</th>
</tr>
</thead>
<tbody>
<tr>
<td>Nonsevere inpatient pneumonia*</td>
<td>β-Lactam + macrolide (^1) or respiratory fluoroquinolone (^2)</td>
<td>Add MRSA coverage (^3) and obtain cultures/nasal PCR to allow deescalation or confirmation of need for continued therapy</td>
<td>Add coverage for <em>P. aeruginosa</em> (^4) and obtain cultures to allow deescalation or confirmation of need for continued therapy</td>
<td>Obtain cultures but withhold MRSA coverage unless culture results are positive. If rapid nasal PCR is available, withhold additional empiric therapy against MRSA if rapid testing is negative or add coverage if PCR is positive and obtain cultures</td>
<td>Obtain cultures but initiate coverage for <em>P. aeruginosa</em> only if culture results are positive</td>
</tr>
<tr>
<td>Severe inpatient pneumonia*</td>
<td>β-Lactam + macrolide (^1) or β-lactam + fluoroquinolone (^2)</td>
<td>Add MRSA coverage (^3) and obtain cultures/nasal PCR to allow deescalation or confirmation of need for continued therapy</td>
<td>Add coverage for <em>P. aeruginosa</em> (^4) and obtain cultures to allow deescalation or confirmation of need for continued therapy</td>
<td>Add MRSA coverage (^3) and obtain nasal PCR and cultures to allow deescalation or confirmation of need for continued therapy</td>
<td>Add coverage for <em>P. aeruginosa</em> (^4) and obtain cultures to allow deescalation or confirmation of need for continued therapy</td>
</tr>
</tbody>
</table>

**Definition of abbreviations:** ATS = American Thoracic Society; CAP = community-acquired pneumonia; HAP = hospital-acquired pneumonia; IDSA = Infectious Diseases Society of America; MRSA = methicillin-resistant *Staphylococcus aureus*; VAP = ventilator-associated pneumonia.

*As defined by 2007 ATS/IDSA CAP severity criteria guidelines (see Table 1).

\(^1\)Ampicillin + sulbactam 1.5–3 g every 6 hours, cefotaxime 1–2 g every 8 hours, ceftriaxone 1–2 g daily, or ceftaroline 600 mg every 12 hours AND azithromycin 500 mg daily or clarithromycin 500 mg twice daily.

\(^2\)Levofloxacin 750 mg daily or moxifloxacin 400 mg daily.

\(^3\)Per the 2016 ATS/IDSA HAP/VAP guidelines: vancomycin (15 mg/kg every 12 h, adjust based on levels) or linezolid (600 mg every 12 h).

\(^4\)Per the 2016 ATS/IDSA HAP/VAP guidelines: piperacillin-tazobactam (4.5 g every 6 h), cefepime (2 g every 8 h), ceftazidime (2 g every 8 h), imipenem (500 mg every 6 h), meropenem (1 g every 8 h), or aztreonam (2 g every 8 h). Does not include coverage for extended-spectrum β-lactamase–producing Enterobacteriaceae, which should be considered only on the basis of patient or local microbiological data.
exclusively viral in etiology). However, for the purposes of this guideline, the question is whether, among patients with clinically confirmed CAP, measurement of procalcitonin can distinguish patients with viral versus bacterial etiologies and guide the need for initial antibiotic therapy. Some investigators have suggested that procalcitonin levels of \(<0.1 \mu g/L\) indicate a high likelihood of viral infection, whereas levels \(\geq 0.25 \mu g/L\) indicate a high likelihood of bacterial pneumonia (34–36). However, a recent study in hospitalized patients with CAP failed to identify a procalcitonin threshold that discriminated between viral and bacterial pathogens, although higher procalcitonin strongly correlated with increased probability of a bacterial infection (37). The reported sensitivity of procalcitonin to detect bacterial infection ranges from 38% to 91%, underscoring that this test alone cannot be used to justify withholding antibiotics from patients with CAP (38).

**Rationale for the recommendation.** Procalcitonin has been used to guide initiation of antibiotics in patients with lower respiratory infections, but many of these studies are not restricted to patients with radiographically confirmed pneumonia. Some patients with low procalcitonin levels have CAP and have been safely treated without antibiotics (35), but these represent small subgroups, raising concerns about the safety of widely using such a strategy.

**Research needed in this area.** Given the epidemiological evidence that viruses are an important cause of CAP, there is a critical need to validate the use of current rapid laboratory tests, including point-of-care tests, to accurately identify situations in which antibacterial therapy can be safely withheld among adults with CAP.

**Question 6: Should a Clinical Prediction Rule for Prognosis plus Clinical Judgment versus Clinical Judgment Alone Be Used to Determine Inpatient versus Outpatient Treatment Location for Adults with CAP?**

**Recommendation.** In addition to clinical judgement, we recommend that clinicians use a validated clinical prediction rule for prognosis, preferentially the Pneumonia Severity Index (PSI) (strong recommendation, moderate quality of evidence) over the CURB-65 (tool based on confusion, urea level, respiratory rate, blood pressure, and age \(\geq 65\)) (conditional recommendation, low quality of evidence), to determine the need for hospitalization in adults diagnosed with CAP.

**Summary of the evidence.** Both the PSI and CURB-65 were developed as prognostic models in immunocompetent patients with pneumonia, using patient demographic and clinical variables from the time of diagnosis to predict 30-day mortality (39, 40). When compared with CURB-65, PSI identifies larger proportions of patients as low risk and has a higher discriminative power in predicting mortality (41).

Two multicenter, cluster-randomized trials demonstrated that use of the PSI safely increases the proportion of patients who can be treated in the outpatient setting (42, 43). These trials and one additional randomized controlled trial (RCT) support the safety of using the PSI to guide the initial site of treatment of patients without worsening mortality or other clinically relevant outcomes (42–44). Consistent evidence from three pre–post intervention studies and one prospective controlled observational study support the effectiveness and safety of using the PSI to guide the initial site of treatment (45–48).

Clinical severity is not the only consideration in determining the need for hospital admission (49, 50). Some patients have medical and/or psychosocial contraindications to outpatient therapy, such as inability to maintain oral intake, history of substance abuse, cognitive impairment, severe comorbid illnesses, and impaired functional status.

The PSI may underestimate illness severity among younger patients and oversimplify how clinicians interpret continuous variables (e.g., all systolic blood pressures \(<90 \text{ mm Hg}\) are considered abnormal, regardless of the patient’s baseline and actual measurement). Therefore, when used as a decision aid, the PSI should be used in conjunction with clinical judgment.

In comparison to the PSI, there is less evidence that CURB-65 is effective as a decision aid in guiding the initial site of treatment. One pre–post, controlled intervention study using an electronically calculated version of CURB-65, \(P_O_2/Fi_O_2 < 300\), absence of pleural effusion, and fewer than three minor ATS severity criteria observed no significant increase in the use of outpatient treatment for adults with CAP (51). A randomized trial compared the safety of inpatient versus outpatient treatment of 49 patients with CURB-65 scores of less than 2 (52) but had limited power to detect differences in patient outcomes; furthermore, outpatient treatment included daily nursing visits and parenteral antibiotic therapy that is typically restricted to inpatient care.

**Rationale for the recommendation.** Our recommendation to use the PSI as an adjunct to clinical judgment to guide the initial site of treatment is based on consistent evidence of the effectiveness and safety of this approach. Using a safe and effective decision aid to increase outpatient treatment of patients with CAP has potential to decrease unnecessary variability in admission rates, the high cost of inpatient pneumonia treatment (53, 54), and the risk of hospital-acquired complications. Providing a conditional recommendation to use CURB-65 considers its greater simplicity of use relative to the PSI despite the paucity of evidence regarding its effectiveness or safety.

**Research needed in this area.** It is important to study the effectiveness and safety of using CURB scores or new prediction rules for prognosis as decision aids to guide the initial site of treatment for patients with CAP compared with the PSI. Future studies of prediction rules should also test electronic versions generated in real time from data routinely recorded in the electronic medical record and assess their performance in patient populations excluded from the development of existing prediction rules (55, 56).

**Question 7: Should a Clinical Prediction Rule for Prognosis plus Clinical Judgment versus Clinical Judgment Alone Be Used to Determine Inpatient General Medical versus Higher Levels of Inpatient Treatment Intensity (ICU, Step-Down, or Telemetry Unit) for Adults with CAP?**

**Recommendation.** We recommend direct admission to an ICU for patients with hypotension requiring vasopressors or respiratory failure requiring mechanical ventilation (strong recommendation, low quality of evidence).

For patients not requiring vasopressors or mechanical ventilator support, we suggest
using the IDSA/ATS 2007 minor severity criteria (Table 1) together with clinical judgment to guide the need for higher levels of treatment intensity (conditional recommendation, low quality of evidence).

Summary of the evidence. The PSI and CURB-65 were not designed to help select the level of care needed by a patient who is hospitalized for CAP. Several prognostic models have been designed to predict the need for higher levels of inpatient treatment intensity using severity-of-illness parameters based on patient outcomes (ATS 2001, IDSA/ATS 2007, SMART-COP, and SCAP score). Studies of prognostic models have used different end points, including inpatient mortality (57, 58), ICU admission (57–59), receipt of intensive respiratory or vasopressor support (59, 60), or ICU admission plus receipt of a critical therapy (61). In comparative studies, these prognostic models yield higher overall accuracy than the PSI or CURB-65 when using illness outcomes other than mortality (58, 59, 61).

The 2007 IDSA/ATS CAP guidelines recommended a set of two major and nine minor criteria to define severe pneumonia requiring ICU admission (Table 1). These criteria were based on empirical evidence from published studies and expert consensus. All elements are routinely available in emergency department settings and are electronically calculable (51, 61). Several groups have validated these criteria in pneumonia cohorts from different countries (57–59, 61), with a meta-analysis reporting one major or three minor criteria had a pooled sensitivity of 84% and a specificity of 78% for predicting ICU admission (62). Without the major criteria, a threshold of three or more minor criteria (recommended in the 2007 IDSA/ATS guideline) had a pooled sensitivity of 56% and specificity of 91% for predicting ICU admission (63).

SMART-COP is an alternative, validated prediction rule for identifying patients with pneumonia who need vasopressor support and/or mechanical ventilation. The eight SMART-COP criteria and the nine 2007 IDSA/ATS minor criteria have five overlapping elements: hypoxia, confusion, respiratory rate, multilobar radiographic opacities, and low systolic blood pressure. SMART-COP had a pooled sensitivity of 79% and specificity of 64% in predicting ICU admission using a threshold of three or more criteria but uses albumin, PaO₂, and pH, which are not universally available for real-time clinical decision-making (60). For predicting ICU admission, one comparison reported equivalence of the IDSA/ATS minor criteria and SMART-COP (63) and another reported a significantly greater performance of the IDSA/ATS minor criteria (61). No randomized studies have evaluated the effectiveness or safety of an illness severity tool as a decision aid to guide the intensity of inpatient treatment for patients hospitalized with CAP.

Rationale for the recommendation. Patients transferred to an ICU after admission to a hospital ward experience higher mortality than those directly admitted to the ICU from an emergency department (64–67). This higher mortality may in part be attributable to progressive pneumonia, but "mis-triage" of patients with unrecognized severe pneumonia may be a contributing factor (64). It seems unlikely that physician judgment alone would be equivalent to physician judgment together with a severity tool to guide the site-of-care decision. We recommend the 2007 IDSA/ATS severe CAP criteria over other published scores, because they are composed of readily available severity parameters and are more accurate than the other scores described above.

Research needed in this area. Controlled studies are needed to study the effectiveness and safety of using illness severity tools as decision aids to guide the intensity of treatment in adults hospitalized for pneumonia.

Question 8: In the Outpatient Setting, Which Antibiotics Are Recommended for Empiric Treatment of CAP in Adults?

Recommendation.

1. For healthy outpatient adults without comorbidities listed below or risk factors for antibiotic resistant pathogens, we recommend (Table 3):
   - amoxicillin 1 g three times daily (strong recommendation, moderate quality of evidence), or
   - doxycycline 100 mg twice daily (conditional recommendation, low quality of evidence), or
   - a macrolide (azithromycin 500 mg on first day then 250 mg daily or clarithromycin 500 mg twice daily or clarithromycin extended release 1,000 mg daily) only in areas with pneumococcal resistance to macrolides <25% (conditional recommendation, moderate quality of evidence).

2. For outpatient adults with comorbidities such as chronic heart, lung, liver, or renal disease; diabetes mellitus; alcoholism; malignancy; or asplenia we recommend (in no particular order of preference) (Table 3):
   - Combination therapy:
     - amoxicillin/clavulinate 500 mg/125 mg three times daily, or amoxicillin/clavulanate 875 mg/125 mg twice daily, or 2,000 mg/125 mg twice daily, or a cephalosporin (cefepime 200 mg twice daily or cefuroxime 500 mg twice daily); AND
     - macrolide (azithromycin 500 mg on first day then 250 mg daily, clarithromycin [500 mg twice daily or extended release 1,000 mg once daily]) (strong recommendation, moderate quality of evidence for combination therapy), or doxycycline 100 mg twice daily (conditional recommendation, low quality of evidence for combination therapy); OR
   - Monotherapy:
     - respiratory fluoroquinolone (levofloxacin 750 mg daily, moxifloxacin 400 mg daily, or gemifloxacin 320 mg daily) (strong recommendation, moderate quality of evidence).

Summary of the evidence. RCTs of antibiotic treatment regimens for adults with CAP provide little evidence of either superiority or equivalence of one antibiotic regimen over another, because of small numbers and the rare occurrence of important outcomes such as mortality or treatment failure resulting in hospitalization. Several published trials included comparators that are no longer available (e.g., ketolides). This paucity of data was noted in a 2014 Cochrane review (68).

We identified 16 relevant RCTs comparing two antibiotic regimens for the treatment of outpatient CAP (69–84). Meta-analyses of each of these groups of studies revealed no differences in relevant outcomes between any of the compared regimens. Similar findings have been
reported in a 2008 meta-analysis of antibiotic treatment for outpatient CAP (85).

The committee also considered whether to accept data regarding oral antibiotics given to inpatients with CAP. We believed that this evidence, albeit indirect, could be reasonably extended to outpatients, because inpatients are generally higher risk and more severely ill. As observational data suggest that inpatient and outpatient CAP are due to the same pathogens (69, 71–73, 82), except for Legionella and gram-negative bacilli, which are rarely documented in outpatient settings, it seems reasonable that an antibiotic regimen that was effective for inpatients would be effective for outpatients.

Studies of high-dose oral amoxicillin have demonstrated efficacy for inpatients with CAP (86–88). Similarly, there is evidence supporting amoxicillin–clavulanic acid in outpatient CAP (71, 73) and inpatient CAP (89, 90).

There are limited data regarding oral doxycycline for pneumonia, mostly involving small numbers of patients (81). Intravenous doxycycline 100 mg twice daily compared favorably to intravenous levofloxacin 500 mg daily in 65 in patients with CAP (91). In an open-label randomized trial of intravenous doxycycline 100 mg twice daily compared with standard antibiotics, doxycycline was associated with a quicker response and less change in antibiotics (92).

Rationale for the recommendation. Given the paucity of RCT data in the outpatient setting, the committee considered all available evidence. The data included the few RCTs of outpatient CAP, observational studies, RCTs of inpatient CAP treatment, antimicrobial resistance data from surveillance programs, and data regarding antibiotic-related adverse events.

For patients without comorbidities that increase the risk for poor outcomes, the panel recommended amoxicillin 1 g every 8 hours or doxycycline 100 mg twice daily. The recommendation for amoxicillin was based on several studies that showed efficacy of this regimen for inpatient CAP despite presumed lack of coverage of this antibiotic for atypical organisms. This treatment also has a long track record of safety. The recommendation for doxycycline was based on limited clinical trial data, but a broad spectrum of action, including the most common relevant organisms. Some experts recommend that the first dose of oral doxycycline be 200 mg, to achieve adequate serum levels more rapidly. There are no data assessing whether such an approach is associated with improved outcomes.

In a departure from the prior CAP guidelines, the panel did not give a strong recommendation for routine use of a macrolide antibiotic as monotherapy for outpatient CAP, even in patients without comorbidities. This was based on studies of macrolide failures in patients with macrolide-resistant S. pneumoniae (93, 94), in combination with a macrolide resistance rate of >30% among S. pneumoniae isolates in the United States, most of which is high-level resistance (95). However, in settings where macrolide resistance is documented to be low and there are contraindications to alternative therapies, a macrolide as monotherapy is a treatment option.

Patients with comorbidities should receive broader-spectrum treatment for two reasons. First, such patients are likely more vulnerable to poor outcomes if the initial empiric antibiotic regimen is inadequate. Second, many such patients have risk factors for antibiotic resistance by virtue of previous contact with the healthcare system and/or prior antibiotic exposure (see Recommendation 10) and are therefore recommended to receive broader-spectrum therapy to ensure adequate coverage. In addition to H. influenzae and M. catarrhalis (both of which frequently produce β-lactamase), S. aureus and gram-negative bacilli are more common causes of CAP in patients with comorbidities, such as COPD.

Regimens recommended for patients with comorbidities include a β-lactam or cephalosporin in combination with either a macrolide or doxycycline. These combinations should effectively target macrolide- and doxycycline-resistant S. pneumoniae (as β-lactam resistance in S. pneumoniae remains less common), in addition to β-lactamase–producing strains of H. influenzae, many enteric gram-negative bacilli, most methicillin-susceptible S. aureus, and M. pneumoniae and C. pneumoniae. The monotherapies listed also are effective against most common bacterial pathogens.

Both sets of treatment recommendations contain multiple antibiotic options without specifying a preference order. The choice between these options requires a risk–benefit assessment for each individual patient, weighing local epidemiological data against specific risk factors that increase the risk of individual choices, such as documented β-lactam or macrolide allergy, cardiac arrhythmia (macrolides), vascular disease (fluoroquinolones), and history of infection with Clostridium difficile. In particular, despite the concern regarding adverse events associated with fluoroquinolones, the panel believed that fluoroquinolone therapy was justified for adults with comorbidities and CAP managed in the outpatient setting.

Reasons included the performance of fluoroquinolones in numerous studies of outpatient CAP (70, 72, 75, 77, 80, 83) and inpatient CAP (see inpatient CAP section), the very low resistance rates in common bacterial causes of CAP, their coverage of both typical and atypical organisms, their oral bioavailability, the convenience of monotherapy, and the relative rarity of serious adverse events related to their use. However, there have been increasing reports of adverse events related to fluoroquinolone use as summarized on the U.S. Food and Drug Administration website (96).

Of note, we adopt the convention of prior guidelines to recommend that patients with recent exposure to one class of antibiotics recommended above receive treatment with antibiotics from a different class, given increased risk for bacterial resistance to the initial treatment regimen. We also highlight that although patients with significant risk factors for CAP due to MRSA or P. aeruginosa (see Recommendation 11) are uncommonly managed in the outpatient setting, these patients may require antibiotics that include coverage for these pathogens.

Research needed in this area. There is a need for head-to-head prospective RCTs of outpatient CAP treatment, comparing clinical outcomes, including treatment failure, need for subsequent visits, hospitalization, time to return to usual activities and adverse events. Furthermore, the prevalence of specific pathogens and their antimicrobial susceptibility patterns in outpatients with pneumonia should be monitored. Newer agents, including lefamulin and omadacycline, need further validation in the outpatient setting.

Question 9: In the Inpatient Setting, Which Antibiotic Regimens Are Recommended for Empiric Treatment of CAP in Adults without Risk Factors for MRSA and P. aeruginosa?

Recommendation 9.1. In inpatient adults with nonsensitive CAP without risk factors for
MRSA or P. aeruginosa (see Recommendation 11), we recommend the following empiric treatment regimens (in no order of preference) (Table 4):

- **combination therapy with a β-lactam** (ampicillin + sulbactam 1.5–3 g every 6 h, cefotaxime 1–2 g every 8 h, ceftriaxone 1–2 g daily, or ceftaroline 600 mg every 12 h) and a macrolide (azithromycin 500 mg daily or clarithromycin 500 mg twice daily) (strong recommendation, high quality of evidence), or

- **monotherapy with a respiratory fluoroquinolone** (levofloxacin 750 mg daily, moxifloxacin 400 mg daily) (strong recommendation, high quality of evidence).

A third option for adults with CAP who have contraindications to both macrolides and fluoroquinolones is:

- **combination therapy with a β-lactam** (ampicillin + sulbactam, cefotaxime, cefaroline, or ceftriaxone, doses as above) and doxycycline 100 mg twice daily (conditional recommendation, low quality of evidence).

**Summary of the evidence.** Most randomized controlled studies of hospitalized adults with CAP comparing β-lactam/macrolide therapy versus fluoroquinolone monotherapy were designed as noninferiority trials and had limited sample sizes (97–103). These data suggested that patients treated with β-lactam/macrolide therapy have similar clinical outcomes compared with those treated with fluoroquinolone monotherapy. A systematic review of 16 RCTs in 4,809 patients found fluoroquinolone monotherapy resulted in significantly fewer incidences of clinical failure, treatment discontinuation, and diarrhea than β-lactam/macrolide combination (104). However, mortality rates were low overall, and there were no significant differences in mortality between groups. Another systematic review of 20 experimental and observational studies in adults hospitalized with radiographically confirmed CAP, β-lactam plus macrolide combination therapy, or fluoroquinolone monotherapy were generally associated with lower mortality than β-lactam monotherapy (105). Therefore, the panel recommends a β-lactam (ampicillin plus sulbactam, cefotaxime, cefaroline, or ceftriaxone) plus macrolide (azithromycin or clarithromycin) or monotherapy with a respiratory fluoroquinolone (levofloxacin, moxifloxacin) for the management of inpatients with nonsevere CAP. (Of note, azithromycin but not clarithromycin is available in parenteral formulation.) In choosing between these two options, clinicians should weigh the risks and benefits of the drugs, particularly in light of individual risk factors, such as a history of C. difficile infection or risk factors related to U.S. Food and Drug Administration warnings (96). The panel recommends using doxycycline as an alternative to a macrolide in combination with a β-lactam as a third option in the presence of documented allergies or contraindications to macrolides or fluoroquinolones or clinical failure on one of those agents. Of note, a newer member of the tetracycline class, omadacycline, was recently reported to be equivalent to moxifloxacin as monotherapy for adults with nonsevere CAP and is effective in the setting of tetracycline resistance (106). However, as this is a single published report and the safety information is less well established, the committee decided to not list this new agent as an alternative to the currently recommended treatment options.

The panel also considered β-lactam monotherapy as an option for inpatients with nonsevere CAP. An RCT in 580 patients with CAP could not rule out the possibility that β-lactam monotherapy was inferior to β-lactam/macrolide therapy for inpatients with CAP (107). Nie and colleagues identified several cohort (n = 4) and retrospective observational (n = 12) studies addressing this question and found that β-lactam/macrolide therapy reduced mortality in patients with CAP compared with patients treated with β-lactam monotherapy (108). Similarly, Horita and colleagues demonstrated that β-lactam/macrolide combinations may decrease all-cause death, but mainly for patients with severe CAP (109). Therefore, we suggest that β-lactam monotherapy should not be routinely used for inpatients with CAP over fluoroquinolone monotherapy or β-lactam/macrolide combination therapy.

**Rationale for the recommendation.** As summarized in Table 4, the empiric antibiotic coverage recommendations for patients hospitalized with CAP remain aligned to cover the most likely pathogens causing CAP. There is a paucity of RCTs to favor the recommendation of combination β-lactam plus macrolide versus monotherapy with a respiratory fluoroquinolone versus combined therapy with β-lactam plus doxycycline.

**Research needed in this area.** There is a need for higher-quality evidence in support of the use of combination therapy with a β-lactam and doxycycline. Given concerns over increasing drug resistance (macrolides) and safety issues (macrolides, fluoroquinolones), there is a need for research on new therapeutic agents for adults with CAP including omadacycline (see above) and lefamulin, a new pleuromutilin antibiotic that was recently demonstrated to be noninferior to moxifloxacin in hospitalized adult patients with CAP (110).

**Recommendation 9.2.** In inpatient adults with severe CAP (see Table 1) without risk factors for MRSA or P. aeruginosa, we recommend (Table 4) (note, specific agents and doses are the same as 9.1):

- a β-lactam plus a macrolide (strong recommendation, moderate quality of evidence); or
- a β-lactam plus a respiratory fluoroquinolone (strong recommendation, low quality of evidence).

**Summary of the evidence.** In the absence of RCTs evaluating therapeutic alternatives in severe CAP, the evidence is from observational studies that used different definitions of illness severity to address this question. Sligl and colleagues found in a meta-analysis of observational studies with almost 10,000 critically ill patients with CAP that macrolide-containing therapies (often in combination with a β-lactam) were associated with a significant mortality reduction (18% relative risk, 3% absolute risk) compared with non–macrolide-containing therapies (111). A mortality benefit from macrolides has been observed mainly in cohorts with a large number of patients with severe CAP. In a systematic review, Varidakas and colleagues compared a β-lactam/fluoroquinolone versus a β-lactam/macrolide combination for the treatment of patients with CAP (112). The authors found 17 observational studies and no RCTs addressing this comparison. The combination of β-lactam/fluoroquinolone...
therapy was associated with higher mortality than \( \beta \)-lactam/macrolide combination therapy, but the overall quality of the studies was judged to be low, precluding a definitive recommendation (112).

**Rationale for the recommendation.** In the absence of data from clinical trials demonstrating the superiority of any specific regimen for patients with severe CAP, the committee considered epidemiological data for severe CAP pathogens and observational studies comparing different regimens. As a result, we recommend that combination therapy with a \( \beta \)-lactam plus a macrolide or a \( \beta \)-lactam plus a respiratory fluoroquinolone should be the treatment of choice for patients with severe CAP. Both fluoroquinolone monotherapy and the combination of \( \beta \)-lactam plus doxycycline have not been well studied in severe CAP and are not recommended as empiric therapy for adults with severe CAP.

**Research needed in this area.** Future well-designed RCTs should focus on therapies for patients at highest risk of death with severe pneumonia, as these are needed to assess the benefits and risks of combination \( \beta \)-lactam and macrolide therapy compared with \( \beta \)-lactam and respiratory fluoroquinolone therapy. Studies of fluoroquinolone monotherapy in severe CAP are also needed.

**Question 10: In the Inpatient Setting, Should Patients with Suspected Aspiration Pneumonia Receive Additional Anaerobic Coverage beyond Standard Empiric Treatment for CAP?**

**Recommendation.** We suggest not routinely adding anaerobic coverage for suspected aspiration pneumonia unless lung abscess or empyema is suspected (conditional recommendation, very low quality of evidence).

**Summary of the evidence.** Aspiration is a common event, and as many as half of all adults aspirate during sleep (113). As a result, the true rate of aspiration pneumonia is difficult to quantify, and there is no definition that separates patients with aspiration pneumonia from all others diagnosed with pneumonia. Some have estimated that 5% to 15% of pneumonia hospitalizations are associated with aspiration (114). Rates are higher in populations admitted from nursing homes or extended care facilities (115).

Patients who aspirate gastric contents are considered to have aspiration pneumonitis. Many of these patients have resolution of symptoms within 24 to 48 hours and require only supportive treatment, without antibiotics (116).

Studies evaluating the microbiology of patients with aspiration pneumonia in the 1970s showed high rates of isolation of anaerobic organisms (117, 118); however, these studies often used trans-tracheal sampling and evaluated patients late in their disease course, two factors that may have contributed to a higher likelihood of identifying anaerobic organisms (114).

Several studies of acute aspiration events in hospitalized patients have suggested that anaerobic bacteria do not play a major role in etiology (119–121).

With increasing rates of *C. difficile* infections (frequently associated with use of clindamycin), the question of adding empiric anaerobic coverage (clindamycin or \( \beta \)-lactam/\( \beta \)-lactamase inhibitors) in addition to routine CAP treatment in patients with suspected aspiration is an important one. However, there are no clinical trials comparing treatment regimens with and without anaerobic coverage for patients hospitalized with suspected aspiration. Most recent studies are small, retrospective, and provide only observational data on microbiologic patterns and treatment regimens for patients hospitalized with suspected aspiration pneumonia.

**Rationale for the recommendation.** Although older studies of patients with aspiration pneumonia showed high isolation rates of anaerobic organisms, more recent studies have shown that anaerobes are uncommon in patients hospitalized with suspected aspiration (119, 120). Increasing prevalence of antibiotic-resistant pathogens and complications of antibiotic use highlight the need for a treatment approach that avoids unnecessary use of antibiotics.

**Research needed in this area.** Clinical trials evaluating diagnostic and treatment strategies in patients with suspected aspiration are needed, especially in terms of the ability to distinguish micro- and macro-aspiration events that lead to lower respiratory tract infection from those that do not result in infection.

**Question 11: In the Inpatient Setting, Should Adults with CAP and Risk Factors for MRSA or *P. aeruginosa* Be Treated with Extended-Spectrum Antibiotic Therapy Instead of Standard CAP Regimens?**

**Recommendation.** We recommend abandoning use of the prior categorization of healthcare-associated pneumonia (HCAP) to guide selection of extended antibiotic coverage in adults with CAP (strong recommendation, moderate quality of evidence).

We recommend clinicians only cover empirically for MRSA or *P. aeruginosa* in adults with CAP if locally validated risk factors for either pathogen are present (strong recommendation, moderate quality of evidence). Empiric treatment options for MRSA include vancomycin (15 mg/kg every 12 h, adjust based on levels) or linezolid (600 mg every 12 h). Empiric treatment options for *P. aeruginosa* include piperacillin-tazobactam (4.5 g every 6 h), cefepime (2 g every 8 h), ceftazidime (2 g every 8 h), aztreonam (2 g every 8 h), meropenem (1 g every 8 h), or imipenem (500 mg every 6 h).

If clinicians are currently covering empirically for MRSA or *P. aeruginosa* in adults with CAP on the basis of published risk factors but do not have local etiological data, we recommend continuing empiric coverage while obtaining culture data to establish if these pathogens are present to justify continued treatment for these pathogens after the first few days of empiric treatment (strong recommendation, low quality of evidence).

**Summary of the evidence.** HCAP, as a distinct clinical entity warranting unique antibiotic treatment, was incorporated into the 2005 ATS/IDSA guidelines for management of hospital-acquired and ventilator-associated pneumonia (122). HCAP was defined for those patients who had any one of several potential risk factors for antibiotic-resistant pathogens, including residence in a nursing home and other long-term care facilities, hospitalization for \( \geq 2 \) days in the last 90 days, receipt of home infusion therapy, chronic dialysis, home wound care, or a family member with a known antibiotic-resistant pathogen. The introduction of HCAP was based on studies identifying a higher prevalence of
pathogens that are not susceptible to standard first-line antibiotic therapy, in particular MRSA and P. aeruginosa, in some subsets of patients with CAP (123). Since then, many studies have demonstrated that the factors used to define HCAP do not predict high prevalence of antibiotic-resistant pathogens in most settings. Moreover, a significant increased use of broad-spectrum antibiotics (especially vancomycin and antipseudomonal β-lactams) has resulted, without any apparent improvement in patient outcomes (124–133).

Studies have identified risk factors for antibiotic-resistant pathogens, and in some cases the risk factors are distinct for MRSA versus P. aeruginosa (134–154). However, most of these individual risk factors are weakly associated with these pathogens. The most consistently strong individual risk factors for respiratory infection with MRSA or P. aeruginosa are prior isolation of these organisms, especially from the respiratory tract, and/or recent hospitalization and exposure to parenteral antibiotics (134, 155, 156). Therefore, we have highlighted these individual risk factors to help guide initial microbiological testing and empiric coverage for these pathogens.

Unfortunately, no validated scoring systems exist to identify patients with MRSA or P. aeruginosa with sufficiently high positive predictive value to determine the need for empiric extended-spectrum antibiotic treatment. Scoring system development and validation are complicated by varying prevalence of MRSA and P. aeruginosa in different study populations. Moreover, no scoring system has been demonstrated to improve patient outcomes or reduce overuse of broad-spectrum antibiotics.

Although there is limited evidence to support the use of a specific set of risk factors to identify patients with sufficiently high risk of MRSA or P. aeruginosa to warrant extended-spectrum therapy, a stronger evidence base guides deescalation of therapy after extended-spectrum therapy is initially prescribed. Although no randomized prospective studies have been reported, recent observational (157) and retrospective (158–161) studies in patients with CAP provide strong evidence that deescalation of antibiotic therapy at 48 hours in accord with microbiological results that do not yield MRSA or P. aeruginosa is safe and reduces duration of antibiotic treatment, length of hospitalization, and complications of broad-spectrum therapy. These results are reinforced by retrospective (162) and prospective and randomized but not blinded (163) studies of patients with severe sepsis, the majority of whom had pneumonia, as well as by a recent meta-analysis of deescalation in adults with sepsis (164).

We propose that clinicians need to obtain local data on whether MRSA or P. aeruginosa is prevalent in patients with CAP and what the risk factors for infection are at a local (i.e., hospital or catchment area) level. We refer to this process as “local validation.” This recommendation is based on the absence of high-quality outcome studies, the very low prevalence of MRSA or P. aeruginosa in most centers, and significant increased use of anti-MRSA and antipseudomonal antibiotics for treatment of CAP (142, 155, 165). Although we acknowledge that centers may not currently have local prevalence data, adopting the recommendations for culture of sputum and blood when risk factors for MRSA or P. aeruginosa are present will enable clinicians to generate these local data over time. We recommend analyzing the frequency of MRSA or P. aeruginosa as a CAP pathogen relative to the number of all cases of CAP, not just those for whom cultures are sent. Finally, routine cultures in patients empirically treated for MRSA or P. aeruginosa allow deescalation to standard CAP therapy if cultures do not reveal a drug-resistant pathogen and the patient is clinically improving at 48 hours.

Rationale for the recommendation. Our approach to treating inpatient adults with CAP is summarized in Table 4. Our recommendation against using the former category of HCAP as a basis for selecting extended-spectrum therapy is based on high-quality studies of patient outcomes. Although we understand that clinicians would prefer a simple rule that does not require incorporating site-specific data, the current evidence does not permit endorsement of a simple and accurate rule to determine which patients with CAP should be covered for MRSA and/or P. aeruginosa. However, the alternative approach to MRSA and P. aeruginosa that we propose as a replacement is not based on high-quality studies, because such studies do not exist. The lack of adequate outcome data and marked variation between sites in prevalence of MRSA and P. aeruginosa make generalizing any findings extremely difficult. We hope that future research will improve our understanding of this challenging clinical problem.

Our first principle was to maintain the distinction between severe and nonsevere pneumonia as per prior guidelines, because the risk of inadequate empiric antibiotic therapy is much greater in severe CAP. As noted previously, severity is defined by the degree of physiological impairment, as classified by the IDSA/ATS 2007 criteria.

The second principle was that there is sufficient evidence that prior identification of MRSA or P. aeruginosa in the respiratory tract within the prior year predicts a very high risk of these pathogens being identified in patients presenting with CAP (139, 141, 143, 150, 155, 165), and therefore these were sufficient indications to recommend blood and sputum cultures and empiric therapy for these pathogens in patients with CAP in addition to coverage for standard CAP pathogens, with deescalation at 48 hours if cultures are negative. We endorse the empiric treatment recommendations for MRSA and P. aeruginosa provided by the 2016 Clinical Practice Guideline from IDSA and ATS for the management of adults with hospital-acquired and ventilator-associated pneumonia (166).

The major additional risk factors for MRSA and P. aeruginosa identified in the literature are hospitalization and parenteral antibiotic exposure in the last 90 days (136–138, 140, 142–151, 153). In patients with recent hospitalization and exposure to parenteral antibiotics, we recommend microbiological testing without empiric extended-spectrum therapy for treatment of nonsevere CAP and microbiological testing with extended-spectrum empiric therapy in addition to coverage for standard CAP pathogens for treatment of severe CAP, with deescalation at 48 hours if cultures are negative and the patient is improving.

The data supporting rapid MRSA nasal testing are robust (167, 168), and treatment for MRSA pneumonia can generally be withheld when the nasal swab is negative, especially in nonsevere CAP. However, the positive predictive value is not as high; therefore, when the nasal swab is positive, coverage for MRSA pneumonia should generally be initiated, but blood and sputum cultures should be sent and therapy deescalated if cultures are negative. However, this latter strategy of deescalation
in the face of a positive nasal swab will vary depending on the severity of CAP and the local prevalence of MRSA as a pathogen.

**Question 12: In the Inpatient Setting, Should Adults with CAP Be Treated with Corticosteroids?**

**Recommendation.** We recommend not routinely using corticosteroids in adults with nonsevere CAP (strong recommendation, high quality of evidence).

We suggest not routinely using corticosteroids in adults with severe CAP (conditional recommendation, moderate quality of evidence).

We suggest not routinely using corticosteroids in adults with severe influenza pneumonia (conditional recommendation, low quality of evidence).

We endorse the Surviving Sepsis Campaign recommendations on the use of corticosteroids in patients with CAP and refractory septic shock (169).

**Summary of the evidence.** Two randomized controlled studies of corticosteroids used for treatment of CAP have shown significant reductions in mortality, length of stay, and/or organ failure. The first study found a large magnitude of mortality benefit that has not been replicated in other studies, raising concerns that the results overestimated the true effect (170). In the second study, there were baseline differences in renal function between groups (171). Other RCTs of corticosteroids in the treatment of CAP have not shown significant differences in clinically important endpoints. Differences have been observed in the time to resolution of fever and other features of clinical stability, but these have not translated into differences in mortality, length of stay, or organ failure (172, 173).

Some (174, 175), but not all (176, 177), meta-analyses of published corticosteroid studies have shown a mortality benefit in patients with severe CAP, although no consistent definition of disease severity was used. Side effects of corticosteroids (on the order of 240 mg of hydrocortisone per day) include significant increases in hyperglycemia requiring therapy and possible higher secondary infection rates (178, 179). No reported study has shown excess mortality in the corticosteroid-treated group.

In pneumonia due to influenza, a meta-analysis (180) of predominantly small retrospective studies suggests that mortality may be increased in patients who receive corticosteroids. This finding might reflect the importance of innate immunity in defense against influenza as opposed to bacterial pneumonia.

**Rationale for the recommendation.** There are no data suggesting benefit of corticosteroids in patients with nonsevere CAP with respect to mortality or organ failure and only limited data in patients with severe CAP. The risk of corticosteroids in the dose range up to 240 mg of hydrocortisone equivalent per day for a maximum of 7 days is predominantly hyperglycemia, although rehospitalization rates may also be higher (176), and more general concerns about greater complications in the following 30 to 90 days have been raised (179). At least one large trial (clinicaltrials.gov NCT01283009) has been completed but not reported and may further inform which subgroups of patients benefit from steroids. We also endorse the Surviving Sepsis Campaign recommendations on the use of steroids in patients with septic shock refractory to adequate fluid resuscitation and vasopressor support (169).

Of note, there is no intent that our recommendations would override clinically appropriate use of steroids for comorbid diseases, such as chronic obstructive pulmonary disease, asthma, and autoimmune diseases, where corticosteroids are supported as a component of treatment.

**Research needed in this area.** Large, multicenter, randomized trials with well-defined inclusion and exclusion criteria and measurement of multiple relevant clinical outcomes are needed to define the subsets of patients (if any) who benefit or are potentially harmed from corticosteroid therapy. The trial should also make extensive efforts to define causative pathogens, to define whether there are clear pathogen-specific indications or contraindications for corticosteroid therapy (especially disease due to *S. pneumoniae* and influenza).

**Question 13: In Adults with CAP Who Test Positive for Influenza, Should the Treatment Regimen Include Antiviral Therapy?**

**Recommendation.** We recommend that antiinfluenza treatment, such as oseltamivir, be prescribed for adults with CAP who test positive for influenza in the outpatient setting, independent of duration of illness before diagnosis (strong recommendation, moderate quality of evidence).

We suggest that antiinfluenza treatment be prescribed for adults with CAP who test positive for influenza in the outpatient setting, independent of duration of illness before diagnosis (conditional recommendation, low quality of evidence).

**Summary of the evidence.** No clinical trials have evaluated the effect of treatment with antiinfluenza agents in adults with influenza pneumonia, and data are lacking on the benefits of using antiinfluenza agents in the outpatient setting for patients with CAP who test positive for influenza virus. Several observational studies suggest that treatment with oseltamivir is associated with reduced risk of death in patients hospitalized for CAP who test positive for influenza virus (181, 182). Treatment within 2 days of symptom onset or hospitalization may result in the best outcomes (183, 184), although there may be benefits up to 4 or 5 days after symptoms begin (181, 185).

The use of antiinfluenza agents in the outpatient setting reduces duration of symptoms and the likelihood of lower respiratory tract complications among patients with influenza (186), with most benefit if therapy is received within 48 hours after onset of symptoms (187).

**Rationale for the recommendation.** For inpatients, a substantial body of observational evidence suggests that giving antiinfluenza agents reduces mortality risk in adults with influenza infection. Although benefits are strongest when therapy is started within 48 hours of symptom onset, studies also support starting later (185). These data underline our strong recommendation for using antiinfluenza agents for patients with CAP and influenza in the inpatient setting, consistent with the recently published IDSA Influenza Clinical Practice Guideline (33).

Although we did not identify studies that specifically evaluated antiinfluenza agents for treating outpatients with CAP who test positive for influenza, we make the same recommendation as for inpatients, on the basis of the inpatient data and on outpatient data showing better time to resolution of symptoms and prevention of hospitalization among those with influenza but without pneumonia. Our recommendations are consistent with the
IDSA influenza guidelines, which were recently released (33).

**Research needed in this area.** Randomized controlled studies are needed to support the recommendation for use of anti-influenza agents to treat for influenza pneumonia in the outpatient setting. In particular, knowing whether therapy is valuable when started more than the 48 hours after symptom onset would help guide clinical decision-making.

**Question 14: In Adults with CAP Who Test Positive for Influenza, Should the Treatment Regimen Include Antibacterial Therapy?**

**Recommendation.** We recommend that standard antibacterial treatment be initially prescribed for adults with clinical and radiographic evidence of CAP who test positive for influenza in the inpatient and outpatient settings (strong recommendation, low quality of evidence).

**Summary of the evidence.** Bacterial pneumonia can occur concurrently with influenza virus infection or present later as a worsening of symptoms in patients who were recovering from their primary influenza virus infection. As many as 10% of patients hospitalized for influenza and bacterial pneumonia died as a result of their infection (188). An autopsy series from the 2009 H1N1 influenza pandemic found evidence of bacterial coinfection in about 30% of deaths (189).

*S. aureus* is one of the most common bacterial infections associated with influenza pneumonia, followed by *S. pneumoniae*, *H. influenzae*, and group A Streptococcus; other bacteria have also been implicated (188, 190–192). Given this spectrum of pathogens, appropriate agents for initial therapy include the same agents generally recommended for CAP. Risk factors and need for empiric coverage for MRSA would follow the guidelines included earlier in this document. Rapidly progressive severe pneumonia with MRSA has been described in previously healthy young patients, particularly in the setting of prior influenza; however, it is typically readily identified in the nares or sputum and should be identified by following the recommendations of earlier recommendations in this guideline.

**Rationale for the recommendation.** The recommendation to routinely prescribe antibacterial agents in patients with influenza virus infection and pneumonia was based on evidence suggesting that bacterial coinfections are a common and serious complication of influenza, as well as the inability to exclude the presence of bacterial coinfection in a patient with CAP who has a positive test for influenza virus. Although low levels of biomarkers such as procalcitonin decrease the likelihood that patients have bacterial infections, these biomarkers do not completely rule out bacterial pneumonia in an individual patient with sufficient accuracy to justify initially withholding antibiotic therapy, especially among patients with severe CAP (37, 38, 193). We have provided a strong recommendation because of the significant risk of treatment failure in delaying appropriate antibacterial therapy in patients with CAP. However in patients with CAP, a positive influenza test, no evidence of a bacterial pathogen (including a low procalcitonin level), and early clinical stability, consideration could be given to earlier discontinuation of antibiotic treatment at 48 to 72 hours.

**Research needed in this area.** Randomized controlled studies are needed to establish whether antibacterial therapy can be stopped at 48 hours for patients with CAP who test positive for influenza and have no biomarker (e.g., procalcitonin) or microbiological evidence of a concurrent bacterial infection.

**Question 15: In Outpatient and Inpatient Adults with CAP Who Are Improving, What Is the Appropriate Duration of Antibiotic Treatment?**

**Recommendation.** We recommend that the duration of antibiotic therapy should be guided by a validated measure of clinical stability (resolution of vital sign abnormalities [heart rate, respiratory rate, blood pressure, oxygen saturation, and temperature], ability to eat, and normal mentation) and antibiotic therapy should be continued until the patient achieves stability and for no less than a total of 5 days (strong recommendation, moderate quality of evidence).

**Summary of the evidence.** A small number of randomized trials address the appropriate duration of antibiotic therapy in CAP, and randomized placebo-controlled trials of high quality are mostly limited to the inpatient setting. In these trials, no difference was observed between 5 additional days of oral amoxicillin compared with placebo in patients who had clinically improved on 3 days of intravenous amoxicillin (194), or between 2 days of intravenous cefuroxime followed by 5 days versus 8 days of oral cefuroxime (195). Similar results were obtained with 5 days of levofloxacin 750 mg daily compared with 10 days of levofloxacin 500 mg daily (196) and 5 days of intravenous ceftriaxone compared with 10 days (197). Several recent meta-analyses similarly demonstrate the efficacy of shorter courses of antibiotic therapy of 5 to 7 days (198–200).

Several studies have demonstrated that the duration of antibiotic therapy can be reduced in patients with CAP with the use of a procalcitonin-guided pathway and serial procalcitonin measurement compared with conventional care, but in most cases the average length of treatment was greatly in excess of current U.S. standards of practice as well as the recommendations of these current guidelines. Concern has also been raised that procalcitonin levels may not be elevated when there is concurrent viral and bacterial infection (201, 202) or with important pathogens such as Legionella and Mycoplasma spp (37, 201, 203). Serial procalcitonin measurement is therefore likely to be useful primarily in settings where the average length of stay for patients with CAP exceeds normal practice (e.g., 5–7 d). It is recognized that some patients do not respond to a standard duration of therapy. A variety of criteria for determining clinical improvement have been developed for patients with CAP and validated in clinical trials, including resolution of vital sign abnormalities (heart rate, respiratory rate, blood pressure, oxygen saturation, and temperature), ability to eat, and normal mentation (204). Failure to achieve clinical stability within 5 days is associated with higher mortality and worse clinical outcomes (205–207). Such failure should prompt assessment for a pathogen resistant to the current therapy and/or complications of pneumonia (e.g., empyema or lung abscess) or for an alternative source of infection and/or inflammatory response (208, 209). When assessment of clinical stability has been introduced into clinical practice, patients have shorter durations of antibiotic therapy without adverse impact on outcome (210). All clinicians should therefore use an assessment of clinical stability as part of routine care of patients with CAP.

Longer courses of antibiotic therapy are recommended for 1) pneumonia...
complicated by meningitis, endocarditis, and other deep-seated infection; or 2) infection with other, less-common pathogens not covered in these guidelines (e.g., Burkholderia pseudomallei, Mycobacterium tuberculosis or endemic fungi).

Rationale for the recommendation. As recent data supporting antibiotic administration for <5 days are scant, on a risk–benefit basis we recommend treating for a minimum of 5 days, even if the patient has reached clinical stability before 5 days. As most patients will achieve clinical stability within the first 48 to 72 hours, a total duration of therapy of 5 days will be appropriate for most patients. In switching from parenteral to oral antibiotics, either the same agent or the same drug class should be used.

We acknowledge that most studies in support of 5 days of antibiotic therapy include patients without severe CAP, but we believe these results apply to patients with severe CAP and without infectious complications. We believe that the duration of therapy for CAP due to suspected or proven MRSA or P. aeruginosa should be 7 days, in agreement with the recent hospital-acquired pneumonia and ventilator-associated pneumonia guidelines (166).

Research needed in this area. Controlled studies are needed to establish the duration of antibiotic therapy for adults with complications of CAP, including empyema, and adults with prolonged time to achieving clinical stability.

Question 16: In Adults with CAP Who Are Improving, Should Follow-up Chest Imaging Be Obtained?

Recommendation. In adults with CAP whose symptoms have resolved within 5 to 7 days, we suggest not routinely obtaining follow-up chest imaging (conditional recommendation, low quality of evidence). Summary of the evidence. There are limited data on the clinical usefulness of reimaging patients with pneumonia. Most available data have evaluated whether reimaging patients detects lung malignancy not recognized at the time of treatment for pneumonia. Reported rates of malignancy in patients recovering from CAP range from 1.3% to 4% (211–214). When unsuspected nonmalignant pathology is included, the rate of abnormal findings may reach 5%.

Almost all patients with malignancy in reported series were smokers or ex-smokers. One long-term study found 9.2% of CAP survivors in the Veterans Affairs system (with a predominantly male population and high smoking prevalence) had a new diagnosis of cancer, with a mean time to diagnosis of 297 days. However, only 27% were diagnosed within 90 days of discharge from hospital, suggesting the yield of routine follow-up post discharge would be low (215).

Rationale for the recommendation. Available data suggest the positive yield from repeat imaging ranges from 0.2% to 5.0%; however many patients with new abnormalities in these studies meet criteria for lung cancer screening among current or past smokers (216).

Research needed in this area. Further research may clarify subgroups of patients who may benefit from further radiological assessment after initial therapy for pneumonia.

Conclusions

Recommendations to help clinicians optimize therapy for their patients with CAP have been revised in light of new data. Methods of quality improvement are critical to the implementation of guideline recommendations. It remains disappointing how few key clinical questions have been studied adequately enough to allow for strong recommendations regarding the standard of care. We hope that the research priorities outlined in this document will prompt new investigations addressing key knowledge gaps.

Despite substantial concern over the rise of antibiotic-resistant pathogens, most patients with CAP can be adequately treated with regimens that have been used for multiple decades. It is also true that the subset of patients with CAP who have significant comorbidities and frequent contact with healthcare settings and antibiotics is increasing, and, in some settings, the rates of infection with MRSA or P. aeruginosa are high enough to warrant empiric treatment.

Unfortunately, microbiological testing has yet to deliver fast, accurate, and affordable testing that results in proven benefit for patients with CAP in terms of more rapid delivery of targeted therapy or safe deescalation of unnecessary therapy. Exceptions include rapid testing for MRSA and influenza. Until we have such widely available (and affordable) tests, therapy for many or most patients with CAP will remain empiric. Therefore, clinicians need to be aware of the spectrum of local pathogens, especially if they care for patients at a center where infection with antibiotic-resistant pathogens such as MRSA and P. aeruginosa are more common.

A difference between this guideline and previous ones is that we have significantly increased the proportion of patients in whom we recommend routinely obtaining respiratory tract samples for microbiologic studies. This decision is largely based on a desire to correct the overuse of anti-MRSA and antipseudomonal therapy that has occurred since the introduction of the HCAP classification (which we recommend abandoning) rather than high-quality evidence. We expect this change will generate significant research to prove or disprove the value of this approach. As it is not possible to create a “one size fits all” schema for empiric therapy for CAP, clinicians must validate any approach taking into account their local spectrum and frequency of resistant pathogens, which is another driver for recommending increased testing. We similarly expect our move against endorsing monotherapy with macrolides, which is based on population resistance data rather than high-quality clinical studies, will generate future outcomes studies comparing different treatment strategies.

We hope that clinicians and researchers will find this guideline useful, but the recommendations included here do not obviate the need for clinical assessment and knowledge to ensure each individual patient receives appropriate and timely care. However, this guideline delineates minimum clinical standards that are achievable and will help drive the best patient outcomes on the basis of currently available data.
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